



Consolidated Part 2B Firm Disclosure Brochure Supplement

December 2025

Corporate Headquarters

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Santa Barbara, CA 93101

Phone: 805-882-2360

missionwealth.com

This brochure provides information about the qualifications and business practices of Mission Wealth Management, LP (MWM). If you have any questions about the contents of this brochure, please contact Brad Stark at (805) 882-2360. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about MWM is available on the Internet at www.adviserinfo.sec.gov. Mission Wealth Management, LP, is a Registered Investment Advisor, registered with the United States Securities and Exchange Commission (SEC) under the Investment Advisers Act of 1940. This designation does not imply a certain level of skill or training.



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Matthew William Adams, Managing Partner, Chief Executive Officer

Year of Birth: 1975

Formal Education:

University of Southern California (2003), Master of Business Administration, Finance

University of California, Santa Barbara (1997), Bachelor of Arts, Business Economics

Business Background:

Mission Wealth Management, LP (2003 – present)

National Planning Corporation, Registered Representative (2005 – 2013)

Roxbury Capital Management, Trader (2000 – 2003)

Dimensional Fund Advisors, Operations Coordinator (1999 – 2000)



Peter Ahrens, Senior Wealth Advisor

Year of Birth: 1988

Formal Education:

Judson University (2018), Bachelor of Arts, Business Administration

McHenry County College (2014-2016)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2021)

Business Background:

Mission Wealth Management, LP (2023 – present)

Keystone Financial Services (2022– 2023)

JMG Financial Group (2018 – 2021)

Ralph Berger Financial Advisory (2017-2018)



Claudia Arnold, Partner, Senior Wealth Advisor

Year of Birth: 1970

Formal Education:

Vienna Business School, Austria (1989) Accounting, Economics, and Business Administration

Professional Designations:

Accredited Investment Fiduciary® (2013)

Business Background:

Mission Wealth Management, LP (2019 – present)

Dynamic Wealth Advisors, Investment Advisor (2014 – 2019)

Trilogy Financial Services, Inc., President of Client Services and Director of Field

Development (2007 – 2013)

Lincoln Financial Advisors, Senior Wealth Advisor (2006 – 2007)

National Planning Corporation, Registered Representative (2001 – 2005)



Shinaola Atoro, Senior Wealth Advisor

Year of Birth: 1995

Formal Education:

Columbia University (2022), Master of Wealth Management

University of Notre Dame (2017), Bachelor of International Economics, Applied and

Computational Mathematics and Statistics, and Chinese

Montclair State University (2017 – 2017)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2022)

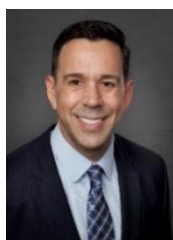
Series 65 (2022)

Business Background:

Mission Wealth Management, LP (2022 – present)

Walmart (2019 – 2022)

IBM (2018 – 2019)



Jeff D. Avila, Partner, Managing Director, Senior Wealth Advisor

Year of Birth: 1967

Formal Education:

College for Financial Planning in Colorado (2007)

Professional Designations:

CERTIFIED DIVORCE FINANCIAL ANALYST® (2017)

CERTIFIED FINANCIAL PLANNER™ (2007)

Business Background:

Mission Wealth Management, LP (2018 – present)

Omega Financial Group, Senior Wealth Advisor (2016 – 2018)

Fidelity Investments, VP - Senior Financial Consultant (2010 – 2016)

TD Ameritrade / Amerivest, Investment Consultant (2009 – 2010)

Fisher Investments, Vice President (2007 – 2009)

Strategic Advisers, VP – Senior Financial Consultant (2005 – 2007)

Primevest Financial Services, Investment Consultant (2004 – 2005)

WM Financial Services, Investment Consultant (2003 – 2004)

Citicorp Investment Services, Licensed Banker (2003)

Cal Fed Investments, Licensed Banker (2002 – 2003)

Bancwest Investment Services, Investment Consultant (2001 – 2002)



Eric Ayvazian, Wealth Advisor Associate

Year of Birth: 2001

Formal Education:

University of Denver (2024), Master of Science, Finance

University of New Hampshire (2023), Bachelor of Science, Finance

Professional Designations:

Accredited Wealth Management Advisor™ (2025)

Accredited Asset Management Specialist™ (2025)

Series 65 (2024)

Business Background:

Mission Wealth Management, LP (2024 – present)



Brandon L. Baiamonte, Partner, Director of Wealth Strategy

Year of Birth: 1975

Formal Education:

University of Connecticut, Master of Science, Accounting (2011)

Boise State University, Bachelor of Business Administration, Accountancy (1998)

Professional Designations:

Tax Planning Certified Professional® (2025)

CERTIFIED FINANCIAL PLANNER™ (2024)

Chartered Financial Analyst® (2022)

Certified Fraud Examiner (2008)

Series 66 (2000)

Certified Public Accountant (2000)

Business Background:

Mission Wealth Management, LP (2021 – present)

Self-Employed, CFO (2020-2021)

Alta Bay Capital, LLC, CFO (2020)

Sabbatical/Unemployed (2018-2019)

Ball Ventures, LLC, Controller (2014-2018)

Internal Revenue Service, Internal Revenue Agent (2002-2014)



Daniele Beasley, Wealth Advisor

Year of Birth: 1969

Formal Education:

University of Georgia, The Graduate Certificate in Behavioral Planning and Financial Therapy (2024-Currently Enrolled)

Wharton Executive Education, Client Psychology (2021)

Pepperdine Graziadio School of Business and Administration, Certificate in Financial Planning (2019)

Pepperdine Graziadio School of Business and Administration, Master of Business Administration (2011)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2019)

Series 65 (2018)

Business Background:

Mission Wealth Management, LP (2024 – present)

Twenty Concierge Wealth Management, CEO (2022-2024)

One Capital Management, LLC, IAR (2021)

APEX Financial Advisors, Inc., IAR (2020-2021)

Twenty Concierge Wealth Management, CEO (2018-2020)

Cover Street Capital, LLC, President (2011-2017)



Marites Marcos Blair, Senior Wealth Advisor Associate

Year of Birth: 1983

Formal Education:

California Polytechnic State University (2008), Bachelor of Business Administration, Accounting and Finance

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2025)

Series 65 (2017)

Business Background:

Mission Wealth Management, LP (2023 – present)

Walpole Financial Advisors, Wealth Advisor Associate (2012-2023)

Heritage Oaks Bank, Regional Customer Service Assistant II (2010-2012)



Gary A. Brooks, Partner, Senior Wealth Advisor

Year of Birth: 1971

Formal Education:

University of Puget Sound, Bachelor of Science, Communication (1994)

Professional Designations:

CSRIC (2019)

CERTIFIED FINANCIAL PLANNER™ (2005)

Business Background:



Mission Wealth Management, LP (2023 – present)
BHJ Wealth Advisors, President (2009-2023)



Timothy Brown, Partner, Senior Wealth Advisor

Year of Birth: 1965

Formal Education:

University of Minnesota, Master of Business Administration, Business Strategy (1999)
University of Colorado, Bachelor of Science, Finance and Accounting (1988)

Professional Designations:

Heritage Design Professional™ (2023)
Retirement Income Certified Professional® (2020)
CERTIFIED FINANCIAL PLANNER™ (2004)
Series 66 (2001)
Chartered Financial Analyst® (1994)

Business Background:

Mission Wealth Management, LP (2025 – Present)
Brown Wealth Management (2002-2024)



Stephanie Gay Bruno, Partner, Senior Wealth Advisor

Year of Birth: 1967

Formal Education:

The Ohio State University (2003), Bachelor of Arts, English
University of New Orleans (1989), Bachelor of Science, Finance

Professional Designations:

Registered Life Planner® (2025)
Certified Private Wealth Advisor® (2009)
Accredited Investment Fiduciary® (2001)
Retirement Manager Advisor® (2017)
CERTIFIED FINANCIAL PLANNER™ (1997)
Series 63 – Uniform Securities Agent State Law Examination (2003)
Series 7 – General Securities Representative Examination (2007)
Series 6 - Investment Company Products/Variable Contracts Rep. Examination (2003)

Business Background:

Mission Wealth Management, LP (2021 – present)
SBWA, LLC dba Sea to Peak Financial Advisors, Managing Member (2012 – 2121)
Cascade Financial Management, Private Wealth Advisor (2008 – 2021)
KC Investment Advisors, LLC, Advisor (2005 – 2008)
Nationwide Financial, Relationship Manager/ Trust Officer (1992 – 2005)



Steve S. Caltagirone, Partner, Managing Director, Senior Wealth Advisor

Year of Birth: 1973

Formal Education:

Vanderbilt University (2002), Master of Business Administration, Finance

St. Mary's College (1995), Bachelor of Science, Finance

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2013)

Business Background:

Mission Wealth Management, LP (2013 – present)

Elmwood Wealth Management, Inc., Portfolio Mgr. & Wealth Advisor (2012 – 2013)

Osborne Partners Capital Management, LLC, Portfolio Counselor (2010 – 2012)

Bingham Osborn & Scarborough LLC, Portfolio Manager (2008 – 2009)



Mia Cattaneo, Wealth Advisor

Year of Birth: 1995

Formal Education:

Clark University, Master of Business Administration (2018)

Clark University, Bachelor of Arts, Business Management (2017)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2024)

Business Background:

Mission Wealth Management, LP (2021 – present)

AppFolio, Inc., Manager/Website Production Specialist (2018-2021)



Dennis Michael Clark, Director of Tax Compliance

Year of Birth: 1977

Formal Education:

Golden Gate University (2012), Master of Tax, International Tax

California Polytechnic State University (2000), Bachelor of Business Administration

California State University, Chico (1998)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2013)

CPA (2003)

Business Background:

Mission Wealth Management, LP (2023 – present)

Dennis M. Clark, CPA, Owner (2015 – 2023)

Bartlett, Pringe & Wolf, Manager (2014-2015)



Sara Clark, Partner and Chief Client Officer

Year of Birth: 1987

Formal Education:

University of Pennsylvania - The Wharton School (2005 – 2009), Bachelor of Science in Economics, Finance

Professional Designations:

Series 66 (2009)

Business Background:

Mission Wealth Management, LP (2022 – present)

Mariner Wealth Advisors (2021 – 2022)

AdvicePeriod (2020 – 2021)

Kayne Anderson Capital Advisors, L.P., Sr. VP (2019 – 2020)

Morgan Stanley, Private Wealth Advisor (2014 – 2019)



Cole Clifford, Senior Investment Associate

Year of Birth: 1994

Formal Education:

Appalachian State University (2019) Bachelor of Business Administration, Marketing

Business Background:

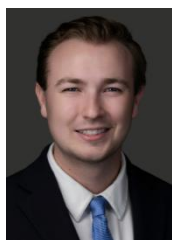
Mission Wealth Management, LP (2023 – present)

Indeed (2019 – 2021)

Capital Chevrolet (2019 – 2019)

Chili's Bar and Grill (2014 – 2019)

United States Army (2011 – 2017)



Patrick Collins, Wealth Advisor Associate

Year of Birth: 2002

Formal Education:

Bentley University (2024), Bachelor of Science, Finance and Personal Financial Planning

Professional Designations:

Accredited Wealth Management Advisor™ (2025)

Accredited Asset Management Specialist™ (2025)

Series 65 (2024)

Business Background:

Mission Wealth Management, LP (2024 – present)

World Energy, Financial Planning and Analysis Intern (2022-2023)



George Andrew Conway, Jr., Wealth Advisor

Year of Birth: 1987

Formal Education:

Harvard University (2011), Bachelor of Science, Chemistry

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2025)

Chartered Financial Analyst® (2023)

Series 65 (2017)

Business Background:

Mission Wealth Management, LP (2024 – present)

Charles Carroll Financial Partners, Director (2022-2024)

St. Sebastian's School, Faculty (2016-2022)

Charles Carroll Financial Partners, Advisor (2015-2019)



Eleanor Cooke, Director of Risk Management

Year of Birth: 1964

Formal Education:

Louisiana State University, Bachelor of Science, Business Management & Marketing (1986)

Professional Designations:

Series 65 (2025)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Texas Advantage Insurance Brokerage (2002 – 2025)



Ethan Cooper, Partner and Senior Wealth Advisor

Year of Birth: 1992

Formal Education:

Wheaton College (2015), Bachelor of Science, Business and Economics

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2019)

Series 65 (2017)

Business Background:

Mission Wealth Management, LP (2022 – present)

WealthPlan Advisors, Inc. (2015 – 2022)

Edward Jones (2015)



Cray J. Coppins III, Partner, Senior Wealth Advisor

Year of Birth: 1979

Formal Education:

Kenyon College (2001), Bachelor of Arts, History

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2009)

Business Background:

Mission Wealth Management, LP (2024 – present)

Stonepath Wealth Management, Managing Member (2012-2024)



Jeremy Cumbee, Wealth Advisor

Year of Birth: 1997

Formal Education:

Pepperdine University, Bachelor of Arts, Business Administration (2019)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2024)

Series 65 (2019)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Integrity Financial (2017 – 2025)

Pepperdine University (2016 – 2017)



Jonathan DeMoss, Senior Wealth Advisor

Year of Birth: 1990

Formal Education:

Wheaton College (2014), Bachelor of Arts, Business and Economics

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2024)

Chartered Financial Analyst® (2021)

Series 66 (2014)

Business Background:

Mission Wealth Management, LP (2024 – present)

Advance Capital Management, Financial Advisor (2020-2024)

Innovator ETFs, Advisor Consultant (2018-2020)

Powershares ETFs/Invesco, Wholesaler (2015-2018)

Edward Jones, Financial Advisor (2014)



Michael Dittamo, Senior Wealth Advisor Associate

Year of Birth: 1994

Formal Education:

University of California, Santa Barbara (2016), Bachelor of Arts, Global Studies

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2025)

Accredited Wealth Management Advisor™ (2024)

Accredited Asset Management Specialist™ (2024)

Series 66 (2022), Series 7 TO (2019), Series 63 (2019), Series 6 TO (2019), SIE (2019)

Business Background:

Mission Wealth Management, LP (2023 – present)

Merrill Lynch, Financial Solutions Advisor (2022-2023)

PlanMember, Financial Advisor (2018-2022)

Yardi Systems, Jr. Account Executive (2016-2018)



Nathaniel Dodd, Senior Wealth Advisor Associate

Year of Birth: 1989

Formal Education:

Grand Canyon University, Masters, Business Administration (2015)

Arizona Christian University, B.S., Christian Ministries (2012)

Scottsdale Community College, A.A. (2010)

Fort Lewis College (2008)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2021)

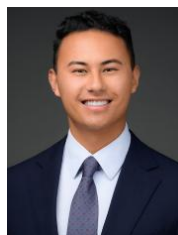
Series 66 (2018), Series 7 (2018), SIE (2018)

Business Background:

Mission Wealth Management, LP (2023-Present)

Blakely Walters Wealth Management, Financial Planner (2018-2023)

Grand Canyon University, Resident Director (2013-2018)



Evan Elig, Wealth Advisor Associate

Year of Birth: 1998

Formal Education:

Princeton University (2020), Bachelor of Arts, Economics

Professional Designations:

Accredited Wealth Management Advisor™ (2024)

Accredited Asset Management Specialist™ (2024)

Series 65 (2021)

Business Background:

Mission Wealth Management, LP (2024 – present)

American Assets Capital Advisors, Analyst (2020-2024)



Patricia Fahnoe, Partner, Senior Wealth Advisor

Year of Birth: 1971

Formal Education:

Anderson School at UC Los Angeles (2005), Master of Business Administration, Finance

College of Financial Planning, Denver, CO (2001), Master of Science, Financial Planning

Northwestern University, Evanston, IL (1993), Bachelor of Arts, Economics

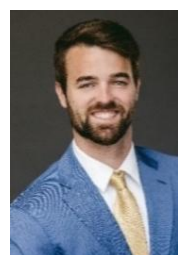
Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (1996)

Business Background:

Mission Wealth Management, LP (2011 – present)

Mercer Advisors, Strategic Advisor (1997 – 2011)



Will Foley, Senior Wealth Advisor

Year of Birth: 1996

Formal Education:

Gonzaga University Graduate School of Business (2019 – 2020), Master of Finance

Boston University (2020), Financial Planning

Gonzaga University (2015 – 2019), Bachelor of Science, Business Finance and Marketing

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2022)

Business Background:

Mission Wealth Management, LP (2021 – present)

Charles Schwab, Intern (2019)

Edward Jones, Intern (2018)



Daniel Yshai Friedman, Senior Wealth Advisor Associate

Year of Birth: 2001

Formal Education:

Indiana University, Kelley School of Business (2023), Bachelor of Science, Finance

Professional Designations:

Accredited Wealth Management Advisor™ (2024)

Accredited Asset Management Specialist™ (2024)

Series 65 (2023)

Business Background:

Mission Wealth Management, LP (2024 – present)

Singer Wealth, Client Ambassador (2023)

ToYourDoorMedical, Client Associate Intern (2022-2023)



Geoffrey Sutherland Gagg, Partner, Senior Wealth Advisor

Year of Birth: 1971

Formal Education:

College of Financial Planning, Denver, CO (2005), Master of Science, Financial Planning

Cal State University, Northridge (1994), Bachelor of Science, Business Finance

Santa Barbara City College (1992)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (1999)

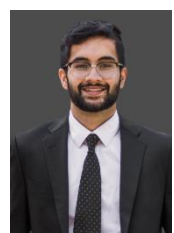
Business Background:

Mission Wealth Management, LP (2000 – present)

Mutual Securities, Registered Representative (2013 – present)

National Planning Corporation, Registered Representative (2000 –2013)

Mercer Global Advisors, Associate Consultant (1995 – 2000)



Ishan Gondara, Investment Associate

Year of Birth: 2000

Formal Education:

University of California, Santa Barbara (2023), Bachelor of Science, Financial Mathematics and Statistics

Ohlone Community College (2021), Associates of Science, Mathematics

Professional Designations:

Series 65 (2023)

Business Background:

Mission Wealth Management, LP (2024 - present)

Mission Wealth Management, LP, Investment Intern (2023)

UBS, Intern (2022-2023)



Ricardo D. Gonzalez, Partner, Senior Wealth Advisor

Year of Birth: 1964

Formal Education:

University of Southern California, Los Angeles, CA (2001), Master of Business Administration

University of California, Berkeley, CA (1989), Bachelor of Arts, Economics

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2017)

Accredited Wealth Management Advisor™ (2015)

Certified Investment Management Analyst (2010)

Business Background:

Mission Wealth Management, LP (2014 - present)

SEIA, Financial Advisor (2013)

The Glowacki Group, LLC, Investment Manager (2008 - 2013)



Mitchell Grushen, Partner and Senior Wealth Advisor

Year of Birth: 1994

Formal Education:

University of Alabama (2013 – 2017), Bachelor of Science, Finance with a concentration in Personal Wealth Management and Insurance

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2019)

Business Background:

Mission Wealth Management, LP (2018 – present)

Pure Financial Advisors, Intern and Assistant (2017 – 2018)



Paige Guinn, Wealth Advisor

Year of Birth: 1976

Formal Education:

College for Financial Planning (2001)

Texas A&M University (1998)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2001)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Logic Capital Management, LLC (2020 – 2024)

Integra Wealth Advisors (2011 – 2020)



Cole Haddock, Senior Wealth Advisor

Year of Birth: 1986

Formal Education:

Southeastern Louisiana University (2012)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2024)

Chartered Retirement Planning Counselor™ (2023)

Accredited Asset Management Specialist™ (2023)

Accredited Wealth Management Advisor™ (2023)

Series 65 (2022)

Business Background:

Mission Wealth Management, LP (2022 – present)

Halbert Wealth Management (2021 – 2022)



Sarah Hamilton, Partner and Senior Wealth Advisor

Year of Birth: 1981

Formal Education:

Northwestern University (2020), Financial Planning Certificate

Saint Mary's College of California, Master of Science, Financial Analysis (2010)

Saint Mary's College of California, Masters of B.A., Finance & Sport Management (2010)

Loyola University Chicago, Bachelor of Arts, Marketing & International Business (2003)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2020)

Chartered SRI Counselor (2020)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Hamilton Walker Advisers (2020 – 2025)

Fidelity Brokerage Services LLC (2010 – 2020)



Polly Hamm, Wealth Advisor

Year of Birth: 1985

Formal Education:

University of Wisconsin-Eau Claire, Bachelor of Science, Finance and Accounting (2007)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2020)

Series 65 (2017)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Brown Wealth Management (2016 – 2024)

Various – Union Gospel Mission, Richfield HS (2015-2016)

UBelong (2015-2015)

Ecolab (2007-2015)



Matthew Harris, Senior Wealth Advisor

Year of Birth: 1987

Formal Education:

Hofstra University, MBA, Finance (2012)

Hofstra University, BBA, Management (2009)

Suny Albany (2006)

Professional Designations:

Certified Plan Fiduciary Advisor™ (2022)

Series 65 (2017)

CERTIFIED FINANCIAL PLANNER™ (2016)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Mai Capital Management, LLC (2024 – 2025)

Marcum Wealth, LLC (2021 – 2024)

Raymond James Financial Services (2020 – 2021)

Cadaret, Grant & Co., Inc. (2018 – 2020)

Unemployed (2018 – 2018)

Charles Schwab & Co., Inc. (2017 – 2018)

Ameriprise Financial Services, LLC (2013 – 2016)



Carroll William Hayes, Jr., Partner and Senior Wealth Advisor

Year of Birth: 1952

Formal Education:

Suffolk University, Master of Business Administration, Finance (2008)

Marquette University, Bachelors of Arts, Political Science (1974)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2008)

Business Background:

Mission Wealth Management, LP (2024 – present)

Charles Carroll Financial Partners, Principal (2008-2024)



Mark Herman, Senior Wealth Advisor

Year of Birth: 1980

Formal Education:

U.S. Military Academy @ West Point (2004), Bachelor of Science in Foreign Area Studies
Texas A&M (2012), Master of Business Administration

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2016)

Series 65 (2014)

Business Background:

Mission Wealth Management, LP (2021 – present)

Astoria Strategic Wealth (2018 – 2021)

Bell Wealth Management (2014 – 2018)

Dell Inc. (2013 – 2014)

Student (2011 – 2012)

U.S. Army (2004 – 2011)



Robert Himmelman, Wealth Advisor

Year of Birth: 1989

Formal Education:

The College of New Jersey, Bachelors, International Business (2011)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2019)

Series 7 (2016)

Series 66 (2016)

Business Background:

Mission Wealth Management, LP (2025 – present)

Fortitude Family Office (2024 – 2025)

EverVest Financial (2024 – 2024)

Cardone Ventures (2023 – 2023)

TMD Wealth Management (2022 – 2023)

Merrill Lynch (2022 – 2022)

Fidelity Investments (2020 – 2021)

UBS (2015 – 2020)



Amy Hollibaugh, Wealth Advisor

Year of Birth: 1975

Formal Education:

Furman University (1996), Bachelor of Arts, Music

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2015)

Series 65 (2004)

Business Background:

Mission Wealth Management, LP (2024 – present)

Hollibaugh Holdings, LLC, Founder, Member (2020-2024)

Wealth Enhancement Group, VP, Financial Advisor (2022)

Nora Wealth, Wealth Advisor (2018-2022)

Juno Planning Group, LLC, Managing Member (2018-2022)

TrueWealth, LLC, Support Advisor (2016-2018)

Holcombe Financial, Inc., Financial Planner (2012-2016)



Shane Anthony Holt, Senior Wealth Advisor

Year of Birth: 1983

Formal Education:

University of California, Berkeley (2015), Personal Financial Planning Certificate

Sonoma State University (2006 - 2007), Bachelor of Arts, Economics

Santa Rosa Junior College (2002 – 2005), Associates, General Education

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2021)

Business Background:

Mission Wealth Management, LP (2018 – present)

Fisher Investments, Investment Counselor (2016 – 2017)

Brouwer & Janachowski, Financial Advisor Associate (2008 – 2015)



Kyle Humphreys, Wealth Advisor Associate

Year of Birth: 2002

Formal Education:

University of California - Irvine, Bachelor of Arts, Business Administration, Finance (2024)

Universitat de Barcelona, Business Administration (2023)

Diablo Valley College, Associates, Business Administration (2022)

Professional Designations:

Series 65 (2025)

Accredited Wealth Management Advisor™ (2025)

Business Background:

Mission Wealth Management, LP (2024 – Present)

Wealthspire Advisors (2023)

Alameda County Assessor's Office (2021)

Validity Bowls Superfood Café (2020 - 2021)



Emilija Ilic, Wealth Advisor Associate

Year of Birth: 1994

Formal Education:

Wayne State University, Bachelor of Science, Criminal Justice (2017)

Professional Designations:

Accredited Wealth Management Advisor™ (2025)

Series 65 (2025)

Business Background:

Mission Wealth Management, LP (2024 – Present)

KRD LTD (2023 – 2024)

Byline Bank (2022 – 2023)

BG 011 LLC (2021 – 2022)

Law Offices of Lee Steinberg (2018 – 2021)

Wall Street Lending Association (2016 – 2016)



Jeffrey Fisher, Wealth Advisor Associate

Year of Birth: 2002

Formal Education:

Indiana University, Bachelor of Science, Finance (2025)

Professional Designations:

Securities Industry Essentials (2025)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Goldman Sachs (2024 – 2024)

Mako Metals (2023 – 2023)

Dick's Sporting Goods (2020 – 2021)



Joyce L. Franklin, Partner and Senior Wealth Advisor

Year of Birth: 1965

Formal Education:

University of California, Berkeley (1999), Personal Financial Planning

San Francisco State University (1994), Master of Science, Taxation

University of Virginia (1987), Bachelor of Science, Finance

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2000)

Certified Public Accountant (1997)

Business Background:

Mission Wealth Management, LP (2024 – present)

JLFranklin Wealth Planning, Founder & Lead Advisor (1998-2024)



Kristina Gwendolyn Kearney, Senior Wealth Advisor

Year of Birth: 1986

Formal Education:

Colorado State University (2009), Master of Accounting

Colorado State University (2008), Bachelor of Science, Finance and Accounting

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2022)

Certified Public Accountant (2011)

Series 65 (2019)

Business Background:

Mission Wealth Management, LP (2021 – present)

Sea to Peak Financial Advisors, Investment Advisor Representative (2020 – 2021)

Priority Financial Advisors, Director of Client Services (2018 – 2020)



Griffin Kent, Wealth Advisor

Year of Birth: 2000

Formal Education:

University of Texas – McCombs (2022), Bachelor of Arts, Finance

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2024)

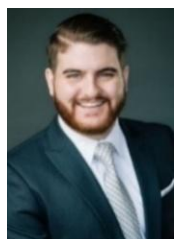
Series 65 (2022)

Securities Industry Essentials (2021)

Business Background:

Mission Wealth Management, LP (2023 – present)

Silicon Hills Wealth Management, Associate (2022 – 2023)



Joey Khoury, Partner and Senior Wealth Advisor

Year of Birth: 1996

Formal Education:

College for Financial Planning (2023), Masters of Science Degree in Personal Financial Planning and Client Psychology

Cornell University (2018), Bachelor of Science, Economics

Professional Designations:

Chartered Retirement Plans Specialist™ (2022)

Accredited Wealth Management Advisor™ (2022)

Accredited Behavioral Finance Professional™ (2021)

CERTIFIED FINANCIAL PLANNER™ (2019)

Business Background:

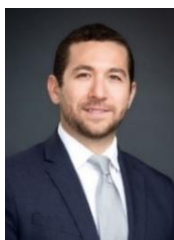
Mission Wealth Management, LP (2020 – present)

Beacon Pointe, Associate Wealth Advisor (2019 – 2020)

Canterbury Consulting, Private Wealth Investment Analyst (2018 – 2019)

Morgan Stanley, Financial Advisor Associate (2017 – 2018)

Kaplan Acquisitions Team, Team Lead (2014 – 2017)



Skyler Kane Kraemer, Partner, Managing Director, Senior Wealth Advisor

Year of Birth: 1988

Formal Education:

College of Financial Planning (2016), Master of Science, Personal Finance

University of California, Santa Barbara (2012), Prof. Certificate, Personal Finance Planning

University of California, Santa Barbara (2010), Bachelor of Arts, Business Economics and Environmental Studies

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2015)

Series 65 (2013)

Business Background:

Mission Wealth Management, LP (2019 – present)

Mercer Advisors, Client Advisor and Financial Associate (2013 – 2019)

Michael J. Berquist Financial and Estate Planning, Front Desk Coordinator (2012 – 2013)

Financial Network, Sales Representative (2012)

Wells Fargo, Teller (2011)

Walpole Financial, Administrative Assistant (2010)



Keaton William Krutenat, Wealth Advisor

Year of Birth: 1998

Formal Education:

College of Financial Planning, Masters of Financial Planning (2024)

Pepperdine University, Bachelor of Science, Finance (2021)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2023)

Chartered SRI Counselor™ (2023)

Accredited Wealth Management Advisor™ (2022)

Business Background:

Mission Wealth Management, LP (2021– present)

Integrated Procurement Technologies, Intern (2016-2020)



Andrew Paul Kulha, Partner, Director of Estate Strategy

Year of Birth: 1988

Formal Education:

Chapman University's Fowler School of Law (2014), Juris Doctorate

University of Texas at Austin (2010), Bachelor of Arts, German and Government

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2020)

Series 65 (2018)

Business Background:

Mission Wealth Management, LP (2022 – present)

Mariner Wealth Advisors, Wealth Advisor (2019 – 2022)

Patriot Wealth Management, Principal (2018 – 2019)

McCulloch & Miller PLLC, Attorney (2017 – 2018)

Weiner & McCulloch PLLC, Attorney (2017)

Kulha Law LLC, Attorney (2016 – 2017)

Rabalais Law LLC, Attorney (2016 - 2016)

Rosemont Analytical, Inc., Contracts Administrator (2014 – 2016)



Phyllis G. Lancaster, Partner, Senior Wealth Advisor

Year of Birth: 1966

Formal Education:

University of Arkansas (1984 – 1988), Bachelor of Arts, Journalism

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2012)

Securities Industry Essential Examination (2018)

Med Supp/Long Term Care (2008)

Life, Accident and Health or Sickness (2007)

Series 66 (2007)

Series 7 (2007)

Business Background:

Mission Wealth Management, LP (2022 – present)

Green Valley Wealth Management, Owner, Client Advisor (2011 – 2022)

Triad Financial Advisors, Financial Advisor (2007 – 2011)



Jose A. Latorre, Investment Associate

Year of Birth: 1996

Formal Education:

University of Miami (2019-2021), Master of Business Administration, Finance

Rollins College (2014 – 2018), Bachelor of Arts, International Business

Professional Designations:

Series 65 (2024)

Business Background:

Mission Wealth Management, LP (2024 – present)

Ceera Investments, LLC, Investment Analyst (2021-2024)

Heydary Group, Finance Manager (2018-2019)



Leopold Lueddemann, Wealth Advisor Associate

Year of Birth: 2002

Formal Education:

The George Washington University, Bachelor of Science, Business, Finance (2025)

Professional Designations:

Series 65 (2025)

Business Background:

Mission Wealth Management, LP (2025 – Present)

George Washington University (2023 – 2025)

Deloitte Consulting LLP (2024 – 2024)

Markham Group (2024 – 2024)



Rory Macdonald, Partner, Senior Wealth Advisor

Year of Birth: 1988

Formal Education:

University of California, Santa Barbara (2010 – 2011), Master of Arts, Economics

University of California, Santa Barbara (2006 – 2010), Bachelor of Arts, Business Economics

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2015)

Accredited Portfolio Management Advisor™ (2014)

Accredited Wealth Management Advisor™ (2013)

Accredited Asset Management Specialist™ (2012)

Business Background:

Mission Wealth Management, LP (2012 – present)

Merrill Lynch, Summer Intern, (2009 – 2010)



Myles McHenry, Senior Wealth Advisor Associate

Year of Birth: 1991

Formal Education:

Lamar University, Bachelor of Science, Corporate Communication (2013)

Professional Designations:

Certified Exit Planning Advisor® (2025)

CERTIFIED FINANCIAL PLANNER™ (2022)

Series 65 (2021)

Securities Industry Essentials (2021)

Chartered Retirement Planning Counselor® (2016)

Business Background:

Mission Wealth Management, LP (2025 – present)

Avondale Resources (2021-2025)

Alliance Advisory & Securities (2022-2024)

Southwestern Investment Group (2021-2021)

Dartex Energy Corporation (2017-2021)

GuideStone (2015-2017)



Shane Meares, Senior Wealth Advisor

Year of Birth: 1995

Formal Education:

California Polytechnic State University, San Luis Obispo, CA (2017), Bachelor of Science, Finance

Professional Designations:

Certified Divorce Financial Analyst® (2023)

CERTIFIED FINANCIAL PLANNER™ (2021)

Business Background:

Mission Wealth Management, LP (2020 – present)

Investment Architects, Inc. (2017 – 2020)



Martin Mercado, Wealth Advisor Associate

Year of Birth: 2001

Formal Education:

University of California, Santa Barbara (2024), Bachelor of Arts, Economics and Accounting

Professional Designations:

Accredited Wealth Management Advisor™ (2025)

Accredited Asset Management Specialist™ (2025)

Series 65 (2024)

Business Background:

Mission Wealth Management, LP (2024 – present)



Bryant Gene Merryman, Partner, Senior Wealth Advisor

Year of Birth: 1992

Formal Education:

University of Iowa, Bachelor of Business Administration in Finance (2014)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2022)

Business Background:

Mission Wealth Management, LP (2019 – present)

Lido Advisors, LLC, Relationship Manager / Financial Advisor (2019)

HighTower Advisors, LLC, Senior Private Wealth Associate (2018)

Merrill Lynch, Pierce, Fenner & Smith, INC, Registered Client Associate (2015 – 2018)



Braydan Meyers, Senior Wealth Advisor Associate

Year of Birth: 2001

Formal Education:

Western Carolina University, Bachelor of Science, Personal Financial Planning (2023)

Professional Designations:

Accredited Wealth Management Advisor™ (2024)

Accredited Asset Management Specialist™ (2023)

Series 65 (2023)

Business Background:

Mission Wealth Management, LP (2023 – present)

Prospect Student Living, Community Assistant (2022-2023)

NC Department of Revenue, Intern IC Division



Alison Rose Miller, Wealth Advisor

Year of Birth: 1999

Formal Education:

St. Edward's University, Bachelor of Business Administration in Finance (2021)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2024)

Series 65 (2022)

Business Background:

Mission Wealth Management, LP (2023 – present)

Per Stirling Capital Management, Client Service Associate (2019 – 2023)



Ryan Thomas Miller, Wealth Advisor

Year of Birth: 2000

Formal Education:

University of Illinois at Urbana-Champaign, Bachelor of Science in Finance (2023)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2025)

Accredited Behavioral Finance Professional™ (2025)

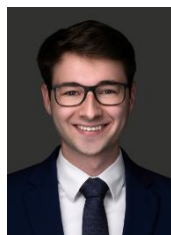
Accredited Wealth Management Advisor™ (2023)

Accredited Asset Management Specialist™ (2023)

Series 65 (2023)

Business Background:

Mission Wealth Management, LP (2022 – present)



Daniel Montgomery, Wealth Advisor Associate

Year of Birth: 2000

Formal Education:

University of Dayton, Bachelor of Science in Business Administration (2023)

Professional Designations:

Accredited Wealth Management Advisor™ (2025)

Accredited Asset Management Specialist™ (2025)

Series 65 (2024)

Business Background:

Mission Wealth Management, LP (2024 – present)

Plante Moran Financial Advisors (2023 – 2024)

University of Dayton (2020 – 2024)



Jose Monreal, Partner, Senior Wealth Advisor

Year of Birth: 1994

Formal Education:

University of California, Riverside, Bachelor of Science in Finance (2019),

Santa Rosa Junior College, Associate in Business (2017)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2022)

Retirement Income Certified Professional® (2020)

Series 7 (2020), Series 65 (2019), Series 63 (2017), Series 6 (2017)

Securities Industry Essential Examination (2018)

Business Background:

Mission Wealth Management, LP (2020 – present)

Prudential Financial (2017 – 2019)

Buffalo Wild Wings (2013 – 2017)

University of Wisconsin - Madison, BBA Student (2011 - 2016)



Jessica B. Mora, Partner, Senior Wealth Advisor

Year of Birth: 1991

Formal Education:

University of California, Los Angeles (2017), Personal Financial Planning Certificate

University of California, Santa Barbara (2013), Bachelor of Arts, Psychology

Professional Designation:

CERTIFIED FINANCIAL PLANNER™ (2018)

Business Background:

Mission Wealth Management, LP (2017 – present)

Kaye Capital Management (2014 – 2017)



Jacob Mortensen, Wealth Advisor

Year of Birth: 1993

Formal Education:

University of Washington, Bachelor of Arts, Business Administration (2016)

Everett Community College, Associates, Business (2014)

South Puget Sound Community College, Associates (2013)

Professional Designation:

CERTIFIED FINANCIAL PLANNER™ (2024)

Business Background:

Mission Wealth Management, LP (2025 – present)

Hightower Advisors (2023 – 2025)

Trellis Advisors (2023 – 2023)

Homemaker (2022 -2022)

Olympia Police Department (2022 – 2022)

Trellis Advisors (2021 -2022)

Triad Financial Strategies (2020 – 2021)

Trellis Advisors (2019 – 2020)

Cheyette & Associates, Inc. (2017 – 2019)



Creede Murphy, Wealth Advisor

Year of Birth: 1984

Formal Education:

University of California San Diego, Master of Business Administration, Business & Finance (2013)

University of New Mexico, Master of Architecture (2009)

University of New Mexico, Bachelor of Arts, Architecture (2006)

Professional Designation:

CERTIFIED FINANCIAL PLANNER™ (2025)

Series 65 (2013)

Business Background:

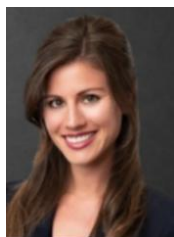
Mission Wealth (2025 – present)

American Assets Capital Advisers, LLC (2014 – 2025)

American Assets Investment Management, LLC (2011 – 2014)

Gateway Partners, Inc. (2011 – 2011)

Creede Murphy Design (2007 – 2011)



Lisa Murphy, Senior Wealth Advisor

Year of Birth: 1987

Formal Education:

Columbia University (2021), Masters in Wealth Management
San Diego State University (2008), Bachelor of Communications

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2022)
Series 7 (2016), Series 63 (2016), Series 65 (2019)

Business Background:

Mission Wealth Management, LP (2022 – present)
AlphaCore (2019 – 2021)
Bradley Wealth Management (2019)
Altegris (2013 – 2019)



Matt Murphy, Partner, Senior Wealth Advisor

Year of Birth: 1968

Formal Education:

Illinois State University (1994), Bachelor of Science, Finance

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2003)
Series 65 (2003)

Business Background:

Mission Wealth Management, LP (2023 – present)
Murphy Capital Advisors, LLC (2004 – 2023)



Emil Nazaretyan, Partner, Director of Investments

Year of Birth: 1986

Formal Education:

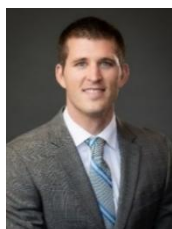
University of California, Riverside (2004 – 2008), Bachelor of Science, Business Administration and Finance

Professional Designation:

CFA Level II Candidate

Business Background:

Mission Wealth Management, LP (2018 – present)
Mercer Advisors, Associate Trader I (2017 – 2018)
Conference Direct, Accounting Associate (2016 – 2017)
Green Logic Asset Management, Co-Founder (2012 – 2013)
Wells Fargo, Licensed Personal Banker (2011 – 2012)
Austen Morris Associates, Business Development Coordinator (2010)
Century 21, External Business Consultant (2010)
Independent Capital Management, Financial Services specialist (2008 – 2009)



Ryan Niedbalski, Partner, Managing Director, Senior Wealth Advisor

Year of Birth: 1987

Formal Education:

Louisiana State University (2009), Bachelor of Science, Economics

Professional Designations:

Chartered Life Underwriter (2016)

CERTIFIED FINANCIAL PLANNER™ (2013)

Business Background:

Mission Wealth Management, LP (2017 – Present)

Bryson Financial Group, Assistant Advisor (2011 – 2017)

Proequities, Inc., Registered Representative (2010 – 2011)



Kieran David Osborne, Partner, Chief Investment Officer

Year of Birth: 1980

Formal Education:

University of Otago, New Zealand (2005), Master of Business in Finance

University of Otago, New Zealand (2003), Bachelor of Commerce in Finance

Professional Designations:

Chartered Financial Analyst® (2009)

Business Background:

Mission Wealth Management, LP (2013 – present)

Merk Investments, Director of Research (2008 – 2013)

Brook Asset Management Limited, Equity Analyst (2006 – 2008)

MCM Associates, Analyst & Trader (2005 – 2006)



Jesse Pantano, Partner and Senior Wealth Advisor

Year of Birth: 1980

Formal Education:

James Madison University (2002), Bachelor of Business, Marketing

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2009)

Chartered Financial Analyst® (2014)

Series 7 (2022), Series 63 (2022)

Business Background:

Mission Wealth Management, LP (2023 – present)

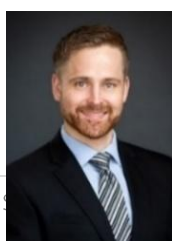
Clarfeld | Citizens Private Wealth (2020 – 2022)

Mercer Advisors (2018 – 2020)

BlackRock (2017 – 2018)

TD Ameritrade (2009 – 2017)

Ameriprise Financial Services (2003 – 2009)



Weston Paul Patton, Partner, Senior Wealth Advisor

Year of Birth: 1991

**Formal Education:**

California Lutheran University (2020), Master of Science, Financial Planning

Chapman University (2014) Master of Business Administration, Finance

Chapman University (2013) Bachelor of Arts, Business Administration

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2019)

Business Background:

Mission Wealth Management, LP (2019 – present)

FMB Wealth Management (2018 – 2019)

Edward Jones Investments (2016 – 2018)

Triad Management Systems (2014 – 2016)

**Andrew L. Penso, Partner, Managing Director, Chief Business Development Officer**

Year of Birth: 1982

Formal Education:

College of Financial Planning, Denver, CO (2012), Master of Science, Financial Planning

University of California, Santa Barbara (2004), Bachelor of Arts, Business Economics with an emphasis in Accounting

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2006)

Business Background:

Mission Wealth Management, LP (2005 – present)

National Planning Corporation, Registered Representative (2005 – 2013)

**Sean Pitstick, Wealth Advisor Associate**

Year of Birth: 2000

Formal Education:

Chapman University, Bachelor of Business Administration, Finance (2022)

Professional Designations:

Series 65 (2025) , Series 7 (2022), Series 63 (2022)

Certified ETF Advisor® (2024)

Securities Industry Essentials (2022)

Business Background:

Mission Wealth Management, LP (2025 – Present)

American Century Investments (2024 – 2025)

State Street Global Advisors (2022 – 2024)



Jorie Lyn Pitt, Partner, Senior Wealth Advisor

Year of Birth: 1981

Formal Education:

University of Illinois (1999 – 2003), Bachelor of Science, Communications

Kaplan University (2005 – 2008), Financial Planning

Professional Designations:

Certified Financial Transitionist® (2018)

Licensed Life & Health Insurance Agent (2016)

Series 65 (2013)

CERTIFIED FINANCIAL PLANNER™ (2008)

Business Background:

Mission Wealth Management, LP (2020 – present)

AHC Advisors, Senior Wealth Advisor (2011 – 2020)

Borthwick Associates, Financial Planner (2005 – 2011)



Gregory John Prince, Partner and Senior Wealth Advisor

Year of Birth: 1974

Formal Education:

Stanford University (1997), Bachelor of Arts, Economics

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2021)

Business Background:

Mission Wealth Management, LP (2021 – present)

Fisher Investments (2020 – 2021)

Unemployed (2018 – 2020)

Consilium Wealth Management (2018)

Prince Brothers Capital (2016 – 2018)

Weeden & Co. (2012 – 2016)



Matthew J. Pyle, Wealth Advisor Associate

Year of Birth: 2001

Formal Education:

University of Colorado Boulder, Master of Science, Finance (2025)

University of Utah, Bachelor of Science, Kinesiology (2023)

Business Background:

Mission Wealth Management, LP (2025 – present)

Diversified Asset Management, Inc (2024 – 2025)



Robert J. Pyle, Partner and Senior Wealth Advisor

Year of Birth: 1962

Formal Education:

University of Colorado Boulder, MBA, Finance (1993)

Pennsylvania State University, Master of Science, Meteorology (1986)

Pennsylvania State University, Bachelor of Science, Meteorology (1984)

Hayford Community College (1981)

Business Background:

Mission Wealth Management, LP (2025 – present)

Diversified Asset Management, Inc (1996 – 2025)



Jeremy Todd Ragar, Senior Wealth Advisor

Year of Birth: 1995

Formal Education:

Creighton University (2019), Master of Science, Finance

Montana State University (2017), Bachelor of Science, Business Finance

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2022)

Chartered Financial Analyst® (2021)

Business Background:

Mission Wealth Management, LP (2019 – present)

Tremblay Financial Services, Sales Assistant (2018 – 2019)

Merrill Lynch, Wealth Management Intern (2016 – 2017)



Scott Ranby, Wealth Advisor

Year of Birth: 1979

Formal Education:

University of North Carolina-Chapel Hill (2012), Master of Business Administration

University of Arizona (2001), Bachelor of Science, Business Administration, Finance

Professional Designations:

Certified Exit Planning Advisor® (2025)

CERTIFIED FINANCIAL PLANNER™ (2013)

Series 65 (2012)

Certified Public Accountant (2003)

Business Background:

Mission Wealth Management, LP (2025 – present)

Kuhn Advisors, Inc., Senior Wealth Advisors (2011-2025)



Edwin Retter, Partner, Senior Wealth Advisor

Year of Birth: 1974

Formal Education:

Florida Institute of Technology, Bachelor of Science, Aviation Management / Flight Tech (1993 – 1998)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2021)

Chartered Market Technician® (2017)

Series 66 (2000)

Business Background:

Mission Wealth Management, LP (2022 – Present)

Retter Capital Management LLC (2013 – 2025)

Raymond James & Associates, Inc (1997 – 2012)



Susan Amy Rizzi, Partner, Senior Wealth Advisor

Year of Birth: 1962

Formal Education:

Towson University (1982 – 1986), Bachelor of Arts, Sociology and Mathematics

University of Virginia (1980 – 1982)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2009)

Securities Industry Essential Examination (2018)

Series 66 (2008)

Series 7 (2004)

Life, Health, LTC (2004)

Business Background:

Mission Wealth Management, LP (2022 – present)

Green Valley Wealth Management, Owner and Client Advisor (2011 – 2022)

Triad Financial Advisors – Royal Alliance, Financial Planner (2005 – 2011)



Walter Rizo, Portfolio Manager

Year of Birth: 1991

Formal Education:

Loyola University New Orleans (2013), BBA in Finance & Economics

Professional Designations:

Chartered Financial Analyst® (2022)

Series 7 (2015), Series 66 (2015)

Business Background:

Mission Wealth Management, LP (2022 – present)

Merrill Lynch (2017 – 2022)

Charles Schwab (2015 – 2017)



Jefferson Financial (2013 – 2014)



Austin David Roberts, Wealth Advisor Associate

Year of Birth: 2003

Formal Education:

University of California, Santa Barbara, Bachelor of Science, Economics and Accounting (2024)

Santa Barbara City College, Associates, Economics (2022)

Professional Designations:

Series 65 (2024)

Accredited Wealth Management Advisor™ (2023)

Business Background:

Mission Wealth Management, LP (2023 – Present)



Donnie Roberts, Partner, Senior Wealth Advisor

Year of Birth: 1970

Formal Education:

Texas Tech University (1992)

Sam Houston State University (1995)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (1998)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Logic Capital Management, LLC (2020 – 2024)

Westwood Holdings Group (1997 – 2020)



Jenna Lauryn Rogers, Partner, Managing Director, Senior Wealth Advisor

Year of Birth: 1987

Formal Education:

College for Financial Planning, Denver, CO (2014), Master of Science, Financial Planning
California State University, Channel Islands (2009), Bachelor of Arts, Business Administration

Porterville College (2007), Associates, Business Administration

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2012)

Accredited Asset Management Specialist™ (2010)

Accredited Wealth Management Advisor™ (2009)

Business Background:

Mission Wealth Management, LP (2008 – present)

National Planning Corporation, Registered Representative (2011 – 2013)

First National Realty, Broker Price Opinion Specialist (2003 – 2009)



Julianna Rote, Partner, Senior Wealth Advisor

Year of Birth: 1992

Formal Education:

University of California, Santa Barbara (2013), Bachelor of Arts, Economics and Mathematics

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2017)

Business Background:

Mission Wealth Management, LP (2014 – present)



Tarren Schaar, Wealth Advisor

Year of Birth: 1975

Formal Education:

University of California (2012), Personal Financial Planning

University of California, Santa Barbara (1999), Bachelor of Science, Biological Sciences

University of California, Santa Barbara (1999), Bachelor of Arts, Environmental Studies

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2013)

Business Background:

Mission Wealth Management, LP (2024 – present)

JLFranklin Wealth Planning, Wealth Planner (2008-2024)



Oliver Schulten, Wealth Advisor

Year of Birth: 2001

Formal Education:

University of California, Santa Barbara (2023), Bachelor of Science, Financial Math and Statistics

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2025)

Accredited Asset Management Specialist™ (2023)

Accredited Wealth Management Advisor™ (2023)

Business Background:

Mission Wealth Management, LP (2022 – present)

TSG Wealth Management, Apprentice (2021)



Julian Scoglio, Wealth Advisor Associate

Year of Birth: 1998

Formal Education:

California State University, Northridge (2021), Bachelor of Arts, Economics

Professional Designations:

Accredited Wealth Management Advisor™ (2025)

Accredited Asset Management Specialist™ (2025)

Series 65 (2024)

Securities Industry Essentials (SIE) (2024)

Life Insurance License (2023)

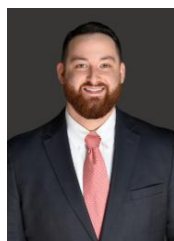
Business Background:

Mission Wealth Management, LP (2024 – present)

Legacy Capital Group, Plan Design Associate (2022-2024)

Kinecta Federal Credit Union, Personal Financial Advocate (2021-2022)

The University Foundation, ETF Portfolio Analyst (2020)



Mathias Silberstein, Senior Wealth Advisor Associate

Year of Birth: 1998

Formal Education:

Colorado University, Denver (2023), Bachelor of Science, Accounting

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2025)

Series 65 (2023), Series 7 (2020)

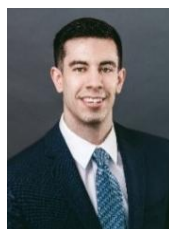
Business Background:

Mission Wealth Management, LP (2024 – present)

Lido Advisors, Wealth Manager (2021-2024)

ANB Bank, Banker, Sales Assistant (2019-2021)

Ackerman Real Estate, Broker's Associate (2017)



Eric Matthew Smith, Partner and Senior Wealth Advisor

Year of Birth: 1992

Formal Education:

University of Wisconsin, Madison (2016), Bachelor of Business Administration in Finance, Investments & Banking

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2019)

Business Background:

Mission Wealth Management, LP (2019 – present)

Fisher Investments, Portfolio Analytics and Reporting (2016 – 2019)

MHK Retirement Partners, Business Development Intern (2015)

INVEST Financial Corporation, Sales and Relationship Management Intern (2014)



Gregory John Smith, Compliance Associate

Year of Birth: 1954

Formal Education:

University of California, Santa Barbara (1979), Bachelor of Arts, Mathematics and Accounting

Professional Designations:

National Social Security Advisor Certificate Holder (2021)

Chartered Life Underwriter (2013)

Chartered Financial Consultant (2011)

CERTIFIED FINANCIAL PLANNER™ (1998)

Business Background:

Mission Wealth Management, LP (2003 – present)

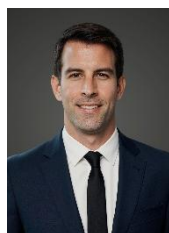
National Planning Corporation, Financial Advisor (2002 – 2013)

Centaurus Financial, Financial Advisor (1999 – 2002)

SunAmerica Securities, Financial Advisor (1995 – 1999)

New York Life, Financial Advisor (1992 – 1995)

Pacific Capital Resources, Commercial Loan Broker (1989 – 1992)



Jay Smith, Senior Wealth Advisor

Year of Birth: 1987

Formal Education:

Georgetown University, Certificate in Financial Planning (2012)

Wake Forest University, BA, Economics (2009)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2014)

Series 7 (2010, 2019), Series 65 (2019)

Life, Health, and Variable Annuities (2011, 2020)

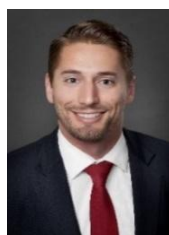
Business Background:

Mission Wealth Management, LP (2025 – present)

Burke and Herbert Bank (2020 – 2025)

Campbell Wealth Management (2019 – 2020)

Pinnacle Advisory Group (2015 – 2018)



Brian Gerald Sottak, Partner, Managing Director, Senior Wealth Advisor

Year of Birth: 1984

Formal Education:

California Polytechnic State University, San Luis Obispo (2006), Bachelor of Science in Business Administration, Financial Management

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2017)

Chartered Financial Analyst® (2012)

Chartered Alternative Investment Analyst® (2012)

Business Background:

Mission Wealth Management, LP (2017 – present)

Balyasny Asset Management, Investment Management (2015 – 2016)

Mellon Capital, Investment Management (2013 – 2015)

Bank of New York Mellon, Investment Management (2008 – 2013)

FMV Opinions, Inc., Analyst (2007 – 2008)



Brad Warren Stark, Founder, Partner, Chief Compliance Officer

Year of Birth: 1970

Formal Education:

College of Financial Planning, Denver, CO (2002), Master of Science, Financial Planning
University of California, Santa Barbara (1992), Bachelor of Arts, Business Economics

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (1994)

Business Background:

Mission Wealth Management, LP (2000 – present)
National Planning Corporation, Registered Representative, Investment Adviser
Representative (2000 – 2013)
California State University, Channel Islands, Adjunct Professor (2008 – 2012)
Signator Financial Network (formerly John Hancock Financial Services and John Hancock
Distributors), Registered Representative (1992 – 2000)



Samuel Stone, Wealth Advisor Associate

Year of Birth: 2003

Formal Education:

University of Miami, BBA, Finance (2025)

Professional Designations:

Securities Industry Essentials® (2025)

Business Background:

Mission Wealth Management, LP (2025 – present)
Northwestern Mutual (2024 – 2025)



Seth Mathew Streeter, Founder, Partner, Chief Impact Officer

Year of Birth: 1969

Formal Education:

College of Financial Planning, Denver, CO (2001), Master of Science, Financial Planning
University of California, Santa Barbara (1992), Bachelor of Arts, Sociology and
Communication with honors

Professional Designations:

CERTIFIED DIVORCE FINANCIAL ANALYST® (2007)

CERTIFIED FINANCIAL PLANNER™ (1995)

Business Background:

Mission Wealth Management, LP (2000 – present)
National Planning Corporation, Registered Representative, Investment Adviser
Representative (2000 - 2013)
Signator Financial Network (formerly John Hancock Financial Services and John Hancock
Distributors), Registered Representative (1992 - 2000)



Dannell Rice Stuart, Partner, President

Year of Birth: 1974

Formal Education:

University of California, Santa Barbara (1996), Bachelor of Arts, Spanish and Communication

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (1999)

Business Background:

Mission Wealth Management, LP (2010 – present)

National Planning Corporation, Registered Representative, Investment Adviser Representative (2010 – 2013)

Ameriflex Benefits Corporation, Vice President (1996 – 2010)

Securities America, Inc., Registered Representative, Investment Adviser (2009 – 2010)

Breck & Young Advisors, Inc., Registered Representative (1999 – 2009)

Aragon Securities, Registered Representative (1996 – 1999)



Jared Andrew Sweeney, Partner and Senior Wealth Advisor

Year of Birth: 1986

Formal Education:

Rensselaer Polytechnic Institute (2009), Bachelor of Science, Economics

Hudson Valley Community College (2008)

Boston University (2019), Certification in Financial Planning

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2019)

Series 66 (2010), Series 7 (2009)

Business Background:

Mission Wealth Management, LP (2023 – present)

PRW Wealth Management LLC, Senior Wealth Advisor (2018 – 2022)

Contravisory Investment Management, VP of Private Client (2016 – 2017)

Morgan Stanley, Private Bank Associate (2014 – 2016)

JP Morgan Chase, Private Client Banker (2010 – 2014)



Kristen Taylor, Partner, Senior Wealth Advisor

Year of Birth: 1983

Formal Education:

University of San Francisco (2009 – 2011), Master of Science, Financial Analysis

University of Texas at Austin (2001 – 2005), Bachelor of Arts, Biology

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2019)

Business Background:

Mission Wealth Management, LP (2018 – present)



Montcalm TCR, Partner/Portfolio Manager (2012 – 2018)
Morgan Stanley, Senior Sales Associate (2006 – 2012)
JP Morgan, Client Service (2005 – 2006)



Byron Valles, Senior Wealth Advisor

Year of Birth: 1989

Formal Education:

Golden Gate University (2021), Master of Science, Financial Planning
San Jose State University (2017), Bachelor of Arts, Communication

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2022)

Series 9, 10 (2019)

Life, Health, Variable Annuities (2019)

Series 7, 66 (2018)

Business Background:

Mission Wealth Management, LP (2024 – present)

LPL Financial, Financial Advisor (2023-2024)

First Republic Private Wealth, Director (2021-2023)

Fidelity Investments, Financial Consultant (2019-2021)

First Republic Private Wealth, Associate Team Lead (2017-2019)



Joshua Vaughan, Senior Wealth Advisor

Year of Birth: 1986

Formal Education:

University of Richmond, Bachelor's Degree, Urban Practice & Policy (2009)

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2019)

Series 66 (2015)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Merrill Lynch (2015 – 2017)

Detroit Lions (2017 – 2018)

Homrich Berg Wealth Management, LLC (2018 -2025)



Victoria Wessler, Wealth Advisor Associate

Year of Birth: 2002

Formal Education:

University of California Santa Barbara, Bachelor of Arts, Economics (2025)

Professional Designations:

Series 65 (2025)

Business Background:

Mission Wealth Management, LP (2025 – Present)



Mary Voll Miller, Partner, Senior Wealth Advisor

Year of Birth: 1958

Formal Education:

Syracuse University (1981), Bachelor of Science in Theatre

University of Texas at Austin (2008), Financial Planning Certificate Program

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (2010)

CERTIFIED DIVORCE FINANCIAL ANALYST® (2012)

Series 65 (2008)

Texas Life & Health Insurance (2006)

Business Background:

Mission Wealth Management, LP (2023 – present)

Per Stirling Capital Management, LLC, Partner and Investment Advisor Rep. (2011 – 2023)

BB. Graham & Company, Registered Representative (2011 – 2021)

Hill Country Wealth Management, Member (2011 – 2014)

Choice Advisory Group Inc, Investment Advisor Representative (2008 – 2011)

Choice Investments, Inc. Registered Representative (2008 – 2011)



Toby Whitby, Partner, Senior Wealth Advisor

Year of Birth: 1965

Formal Education:

Baylor University (1989 -1990), Master of Business Administration, Accounting/Finance

Baylor University (1983 – 1987), Bachelor of Business Administration

Professional Designations:

CERTIFIED FINANCIAL PLANNER™ (1992)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Logic Capital Management, LLC (2012 – 2024)



Sara Wilkerson, Senior Wealth Advisor Associate

Year of Birth: 2000

Formal Education:

Kansas State University, Undergraduate Degree, Personal Financial Planning (2022)

Professional Designations:

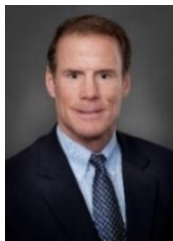
Series 65 (2023)

Business Background:

Mission Wealth Management, LP (2025 – Present)

Generations Wealth Design (2021 – 2025)

First Command Financial Planning (2020 – 2021)



Pete Woodring, Senior Wealth Advisor

Year of Birth: 1968

Formal Education:

University of California, Berkeley (1991), Bachelor of Arts, Social Science

Professional Designations:

Accredited Wealth Management Advisor™ (2021)

Business Background:

Mission Wealth Management, LP (2016 – Present)

Cypress Partners, Principal (2008 – 2019)



Qualifications of Professional Designations as Follows:

CFP® (CERTIFIED FINANCIAL PLANNER™): In-depth graduate level course usually taken over two years that covers the financial planning process (investments, income taxes, insurance, retirement planning, employee benefits, estate and financial plan development) that culminates in a national Certification Examination. Two-year industry work history and an undergraduate degree are required.

AAMS™ (Accredited Asset Management Specialist™): Designation program that focuses on the total financial picture (asset management process, risk/return elements, asset allocation, investment strategies, taxation, deferred compensation, key employee benefits, insurance, estate planning, regulatory and ethical standards). Candidate must successfully pass a final examination.

ABFP™ (Accredited Behavioral Finance Professional™): Designation program that enhances advisors' emotional competencies, client interactions, and financial planning advice through a thorough understanding of psychological explanations for economic behavior and hands-on practice of knowledge. The ABFP is designed for financial professionals in advanced career stages, the ABFP program brings together comprehensive research and trends from leaders and experts in behavioral finance with engaging, in-class activities that enable you to start using your new skills with existing clients from day one.

AIF® (Accredited Investment Fiduciary®): The AIF® designation represents a thorough knowledge of and ability to apply the fiduciary Practices. Through fi360's AIF Training programs, AIF designees learn the Practices and the legal and best practice framework they are built upon.

AWMA™ (Accredited Wealth Management Advisor™): Designation program that focuses on preserving, growing and transferring wealth (AAMS® topics plus income tax and estate planning for high-net-worth individuals). Candidate must successfully pass a final examination at the end of the program.

APMA™ (Accredited Portfolio Management Advisor™): Designation that focuses on client assessment and suitability, risk/return, investment objectives, bond and equity portfolios, modern portfolio theory and investor psychology. Students have hands-on practice in analyzing investment policy statements, building



portfolios, and making asset allocation decisions including sell, hold, and buy decisions within a client's portfolio. Additionally, individuals must pass an end-of-course examination.

CDFA® (CERTIFIED DIVORCE FINANCIAL ANALYST®): Certification program where candidates are required to complete a four-step program and exam designed by the IDFA. A two-year work history in the financial or legal industry is required.

ChFC® (Chartered Financial Consultant®): Designation awarded by the American College of Bryn Mawr. The designee must pass exams that cover a broad range of topics (finance, investing, insurance, taxes and estate planning). A three-year work experience in the financial industry is required.

CIMA (Certified Investment Management Analyst): The CIMA certification program covers five core topic areas and requires that candidates meet all eligibility requirements, including experience, education, examination, and ethics. Applicants must complete five steps in order to earn the certification and complete renewal requirements.

CFA® (Chartered Financial Analyst®): The CFA charter is a qualification for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds and their derivative assets. To become a charter holder a candidate must have four years of qualified work experience and pass three examinations, each typically held once per year.

CeFT® (Certified Financial Transitionist): A CeFT® is trained to help clients navigate through major life events and the financial transitions that accompany them. The CeFT is the industry's first designation specifically geared toward financial change and transition. The certification is offered by the Financial Transitionist Institute, which is the training and certification division of the Sudden Money Institute, culminating with an exam.

CPA (Certified Public Accountant): The CPA designation distinguishes licensed accounting professionals committed to protecting the public interest. These professionals offer financial statement audits and other attestation services to help inform investors about the financial health of organizations.

CRPS™ (Chartered Retirement Plan Specialist™): Designation program that focuses on retirement plans (types, characteristics, defined contribution, defined benefit, nonprofit, plan design, administration, establishment, operation, investment objectives, penalties, termination, fiduciary issues, prohibited transactions and regulatory issues) that culminates with an exam.

CSA (Certified Senior Advisor): A program that focuses on the needs of seniors (aging, family & community, health, mental health, financial literacy, Medicare, Medicaid and Social Security) culminating with an exam.

CMFC™ (Chartered Mutual Fund Counselor™): Designation program that focuses on a thorough knowledge of mutual funds (open, closed end, types, characteristics, risk/return, asset allocation, selection, retirement planning and ethics) that culminated with an exam.



CAIA® (Chartered Alternative Investment Analyst): This is the globally recognized credential for professionals managing, analyzing, distributing, or regulating alternative investments, which include private equity, hedge funds, commodities and real estate, among others. Those who have earned the designation successfully pass both the Level I & II exams; have more than one year of qualifying work experience (or four years of professional experience); maintain annual membership dues and abide by the membership agreement.

CLU® (Chartered Life Underwriter®): Those who have obtained this designation have studied the fundamentals of life and health insurance, pension planning, insurance law, income taxation, investments, financial and estate planning, and group benefits. Designees achieve a thorough understanding of a wide range of personal risk management and life insurance planning issues.

NSSA® (National Social Security Advisor Certificate®): This certificate promotes advanced Social Security education providing the knowledge to counsel clients on the best way to claim Social Security benefits to optimize lifetime Social Security income. The knowledge obtained through the NSSA® Certificate Program, in conjunction with adherence to the NSSA® standards of excellence, continuing education, and access to ongoing support, enables those who earn the Certificate to perpetually stay at the top of their game for Social Security Consulting. NSSA Certificate holders are ethical financial practitioners who always adhere to the highest levels of professional conduct.

RICP® (Registered Income Certified Professional): A retirement income certified professional (RICP) specializes in retirement income planning. The RICP is a designation given to professionals who have completed the RICP training program. RICPs help retirees and near-retirees to use the assets they have accumulated for retirement sustainably.

RMA® (Retirement Management Advisor): This program is an advanced certificate program that focuses on building custom retirement income plans to mitigate clients' risks and to master the retirement planning advisory process, all within an increasingly regulatory environment.

SIE® (Securities industry Essentials® Exam): A FINRA exam for prospective securities industry professionals. This introductory-level exam assesses a candidate's knowledge of basic securities industry information including concepts fundamental to working in the industry, such as types of products and their risks; the structure of the securities industry markets, regulatory agencies, and their functions; and prohibited practices.

Part 2 b Item 3 – Disciplinary Information

There are no legal or disciplinary events that are material to your evaluation of our principals, management, or Wealth Advisors (WA).



Part 2 b Item 3 – Other Business Activities

The WAs of MWM may also be licensed insurance agents. Approximately 5% of the time of MWM or its WAs is spent in connection with these activities. Mr. Gaggs is individually registered with Mutual Securities, Inc., member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise from these activities as commissionable sales may create an incentive to recommend products based on the compensation they may earn. MWM and its WAs will put the clients' best interest before those of their own.

Part 2 b Item 3 – Additional Compensation

We have nothing to disclose in this regard.

Part 2 b Item 3 – Supervision

Brad Stark, Chief Compliance Officer, supervises and monitors WAs' activities on a regular basis to ensure compliance with MWM's Code of Ethics. Mr. Stark is supported by several people who have testing responsibilities, including, but may not be limited to, Diane Williamson, Matt Adams, Dannell Stuart, Kieran Osborne, and Greg Smith. Mr. Stark is supervised by CEO, Matt Adams. Please contact Brad Stark if you have any questions about MWM's brochure supplement at (805) 882-2360.

MISSION WEALTH IS A REGISTERED INVESTMENT ADVISOR. 00411376 12/25